

Paper Reading Dec 10th

2 papers about LMs

One focus on Interpretability(夹带私货→_→)

One focus on injecting knowledge into LMs

Perturbed Masking: Parameter-free Probing for Analyzing and Interpreting BERT

Zhiyong Wu, Yun Chen, Ben Kao, Qun Liu



HUAWEI | NOAH'S ARK LAB

Background

An emerging body of NLP work asks
“Does my neural network implicitly learn **Y** from plain text?”

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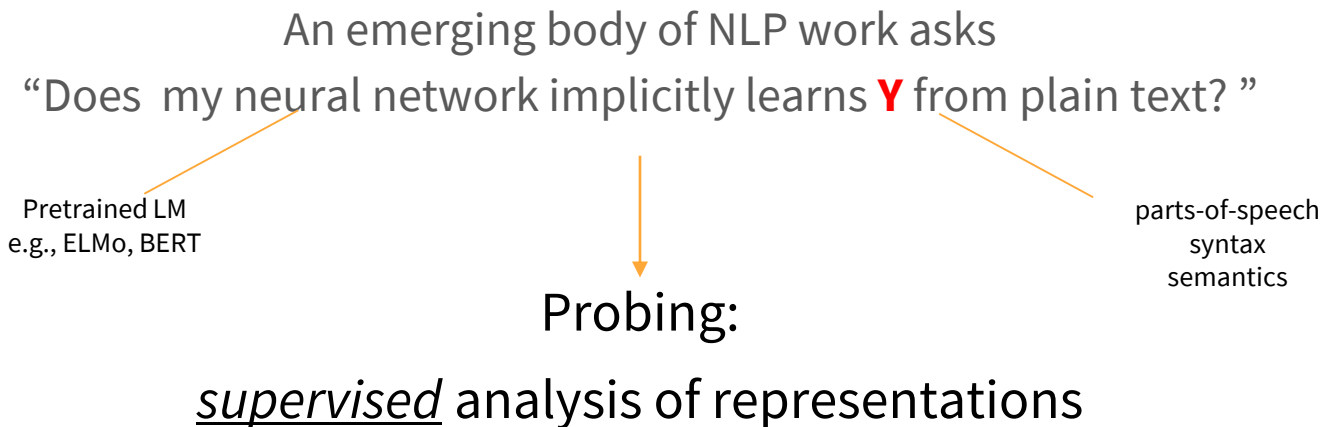
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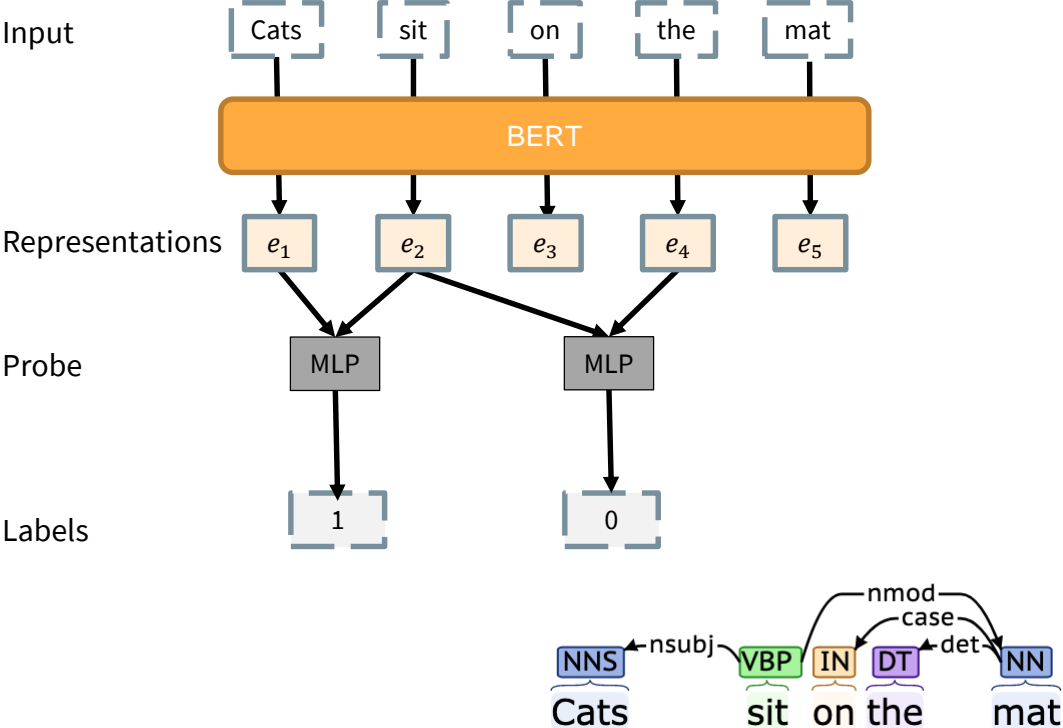
Pretrained LM
e.g., ELMo, BERT

parts-of-speech
syntax
semantics

Background

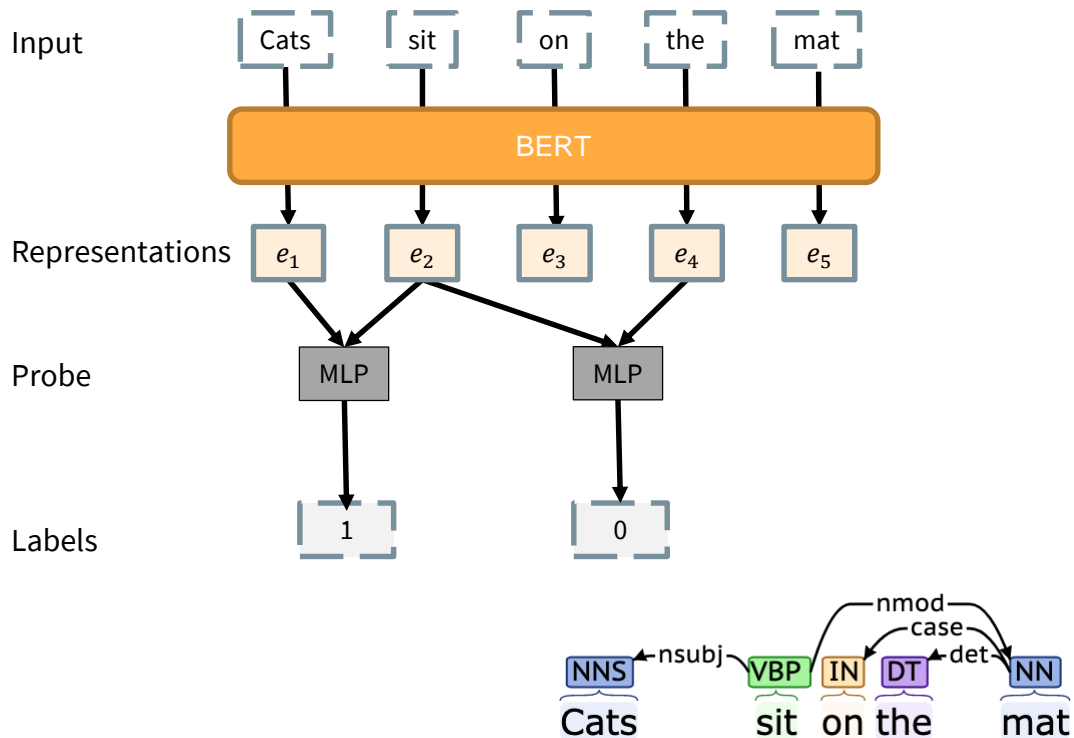


Probing: Does BERT capture syntax?



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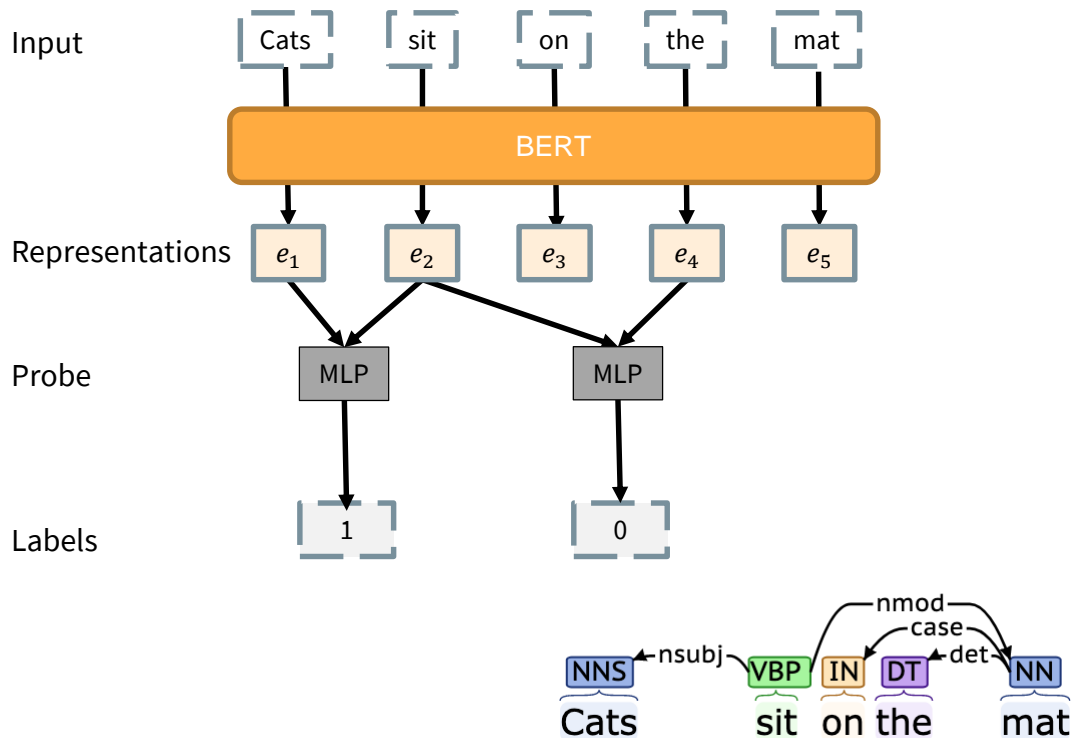
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dependency edge prediction



Probing: Does BERT capture syntax?

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2. Extract representations
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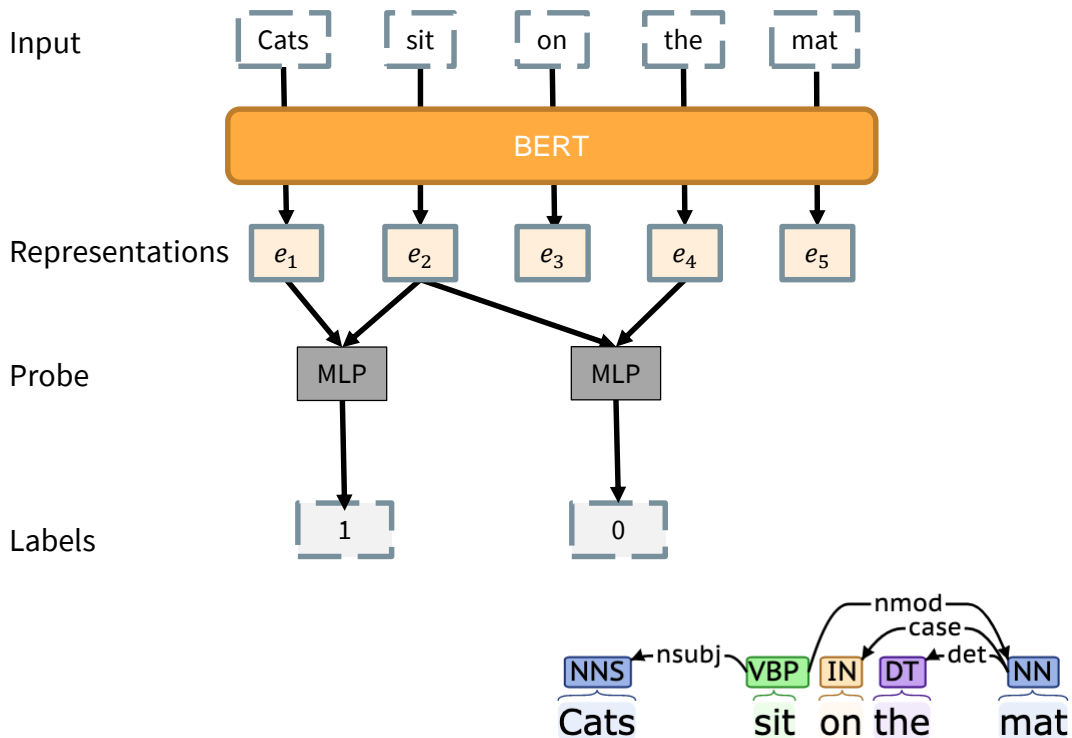


Probing: Does BERT capture syntax?

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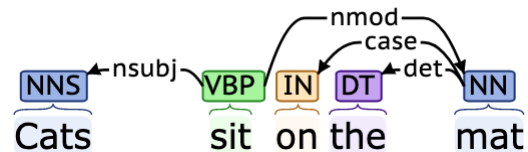
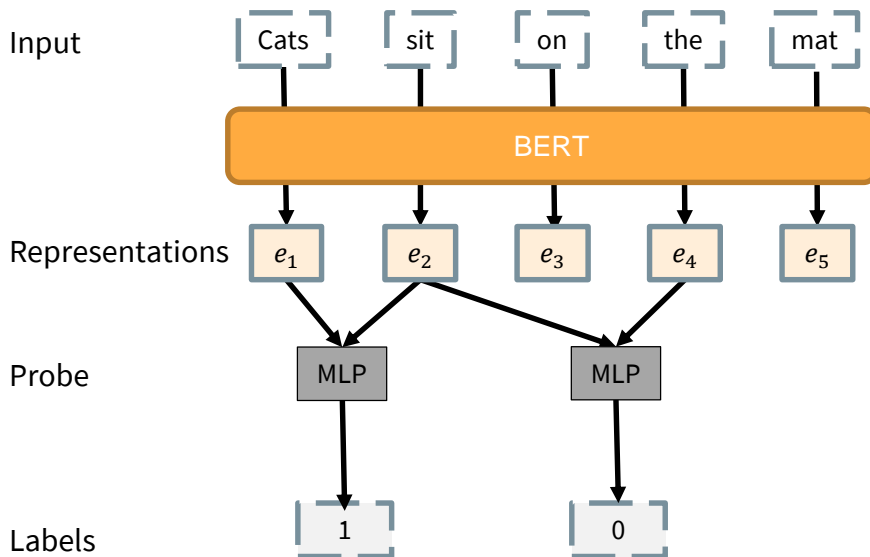
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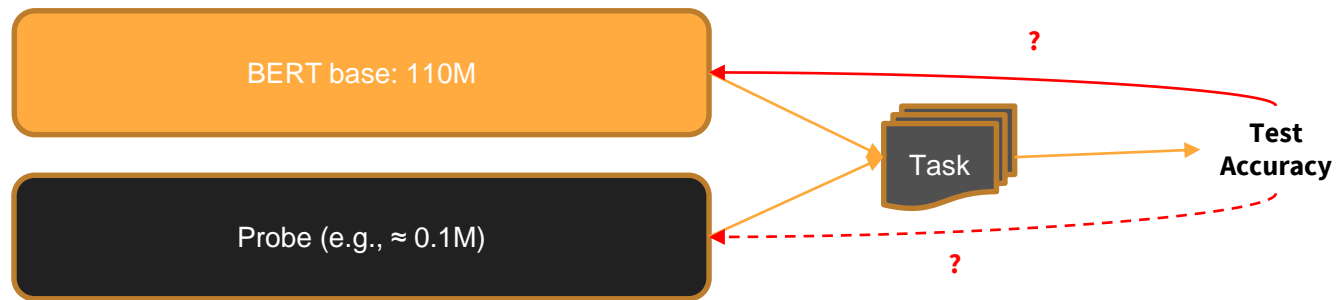
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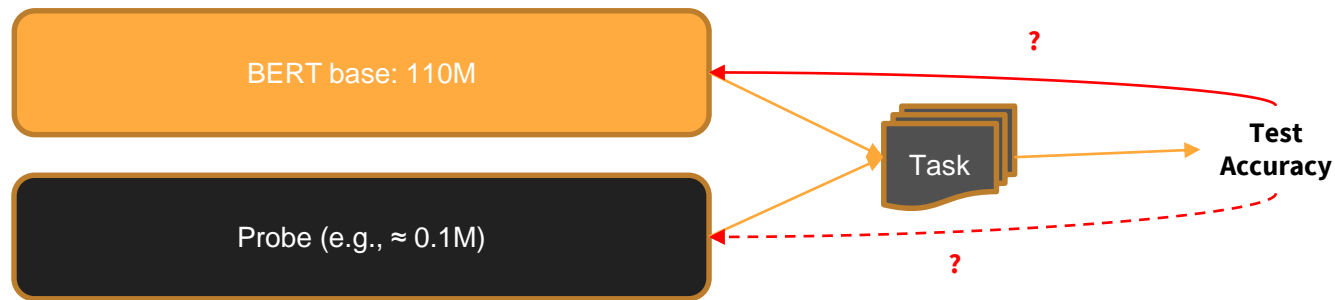
4. Interpret probing accuracy



The probe confounder problem

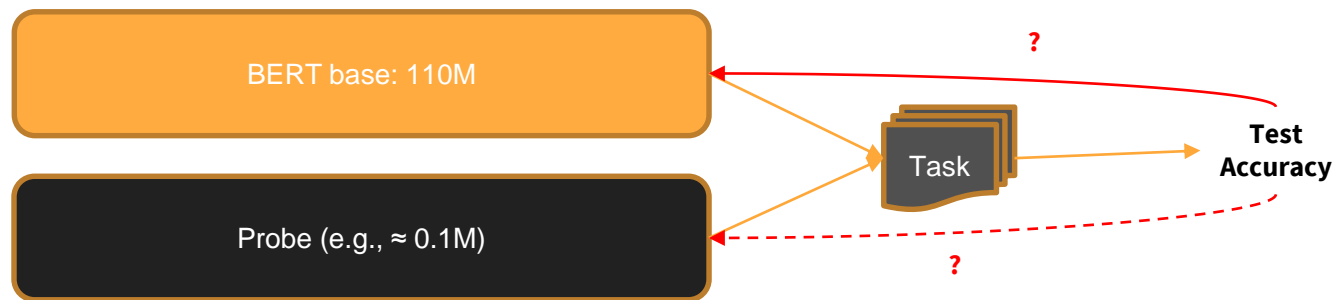


The probe confounder problem



Shall we give credit to the **representation**? and/or the **probe**?

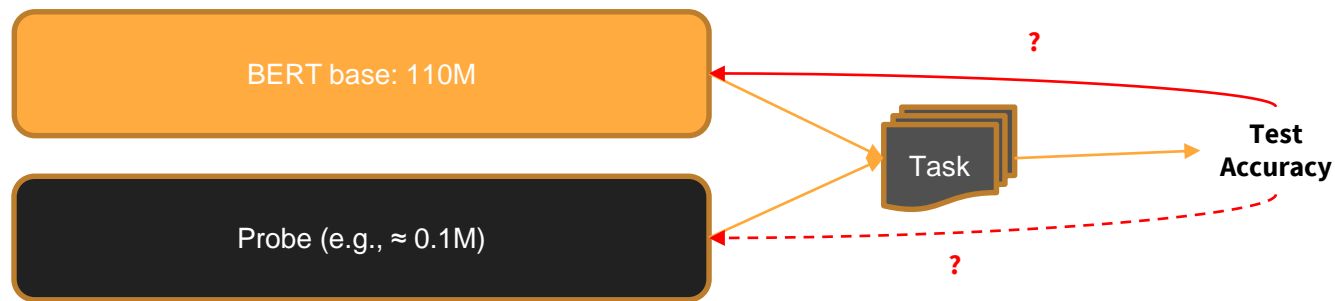
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Fix it: control tasks (Hewitt and Liang 2019) MDL(Elena et al., 2020)

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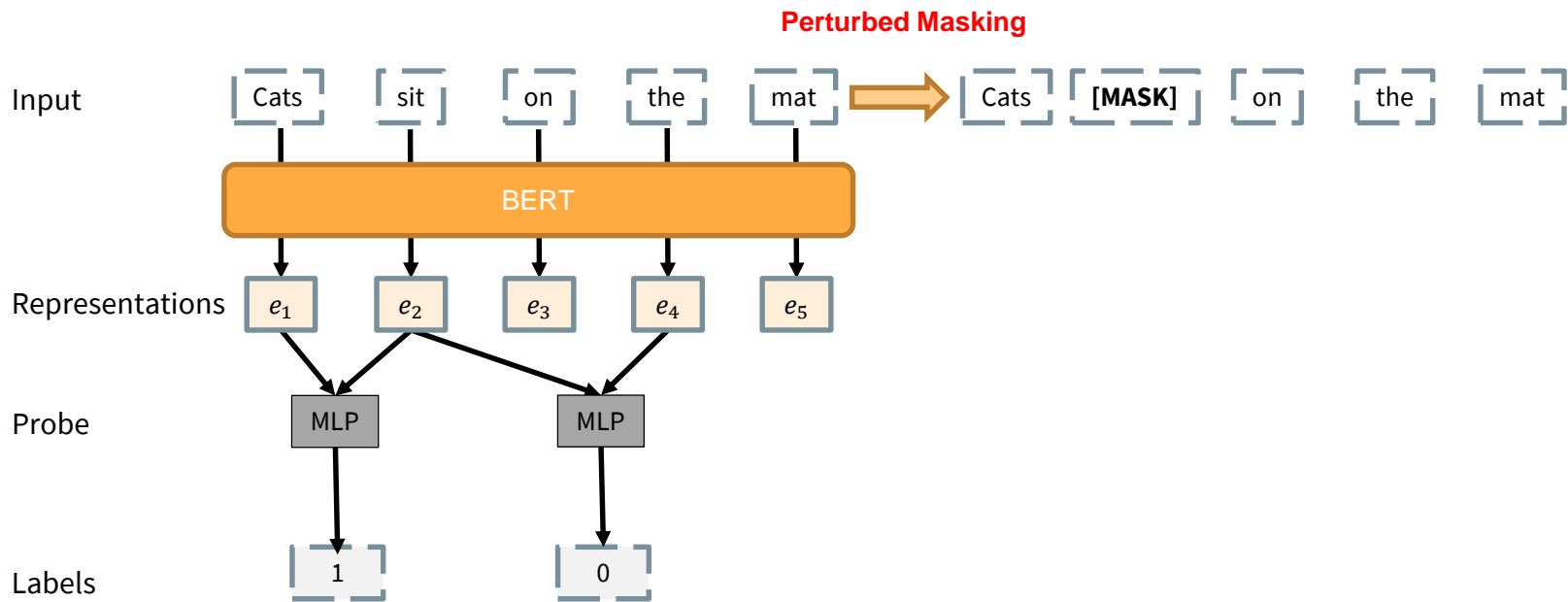


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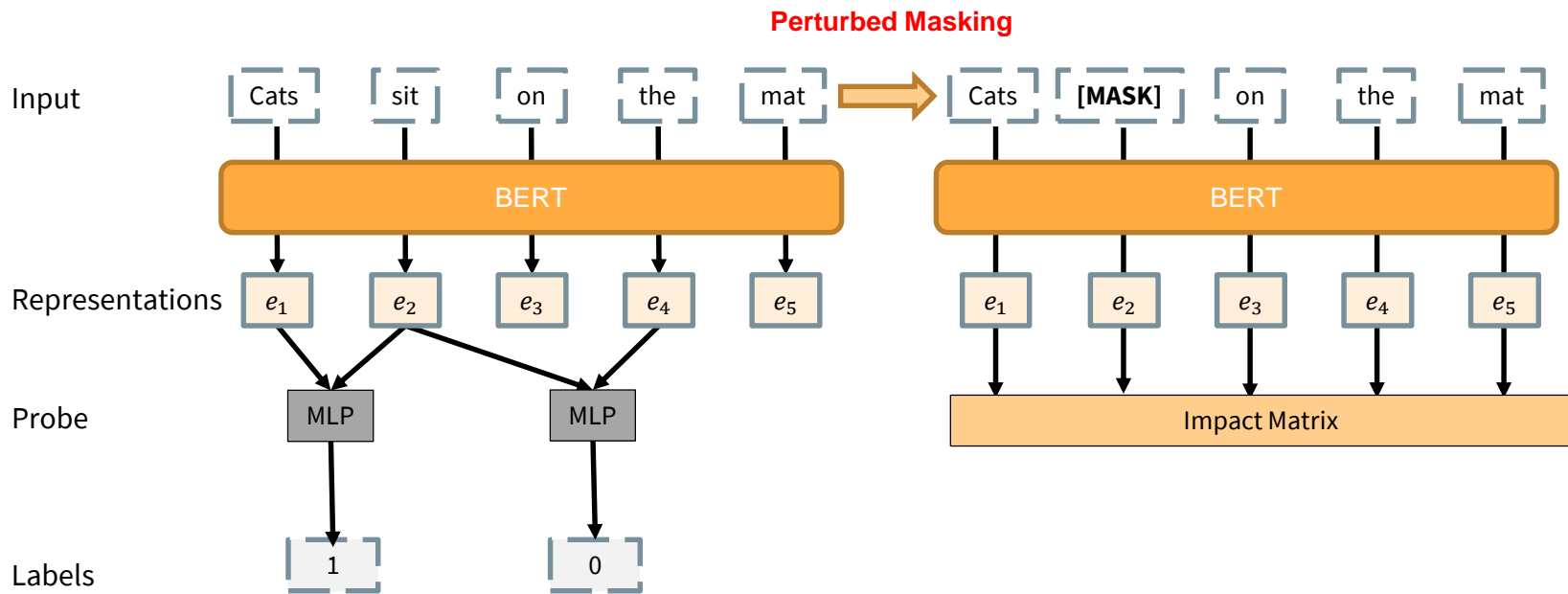
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This work: **parameter-free(unsupervised) probing**

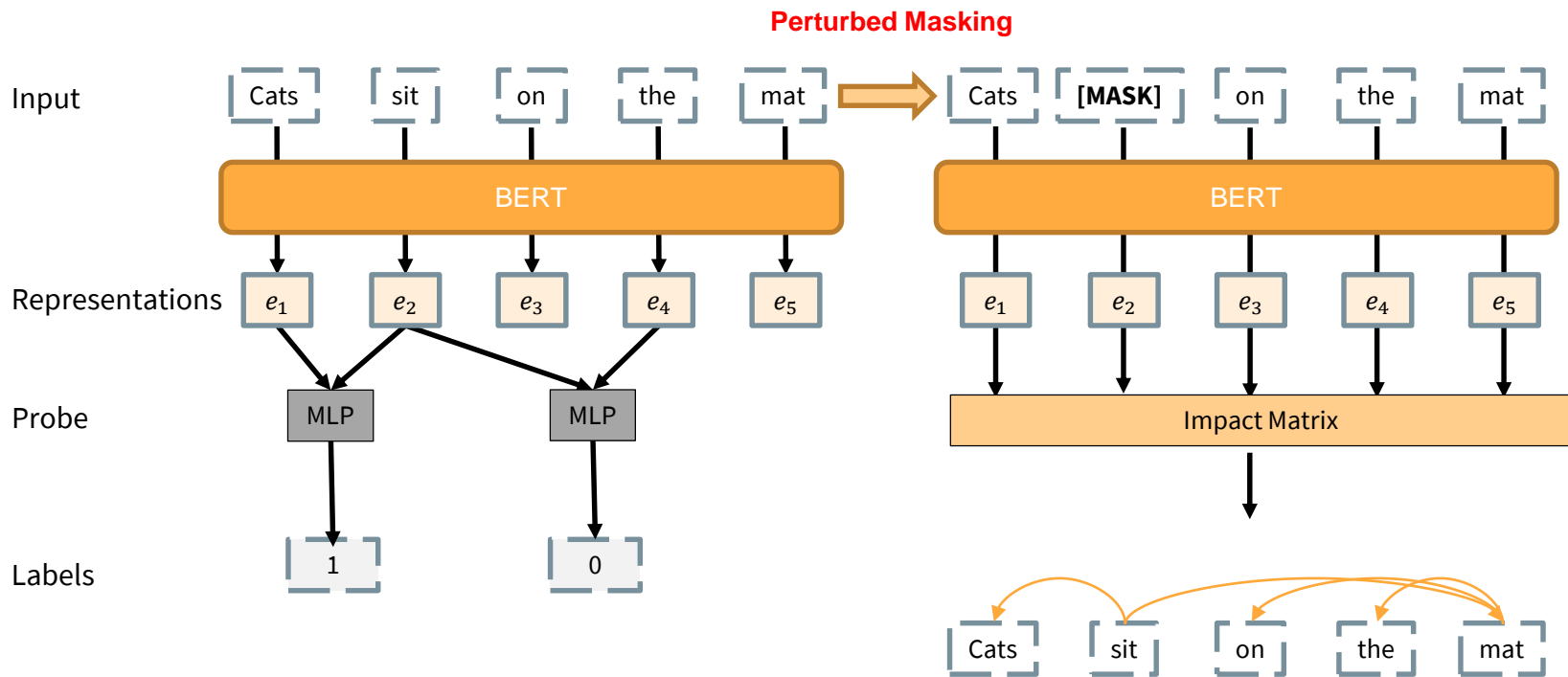
Unsupervised Probing with Perturbed Masking



Unsupervised Probing with Perturbed Masking



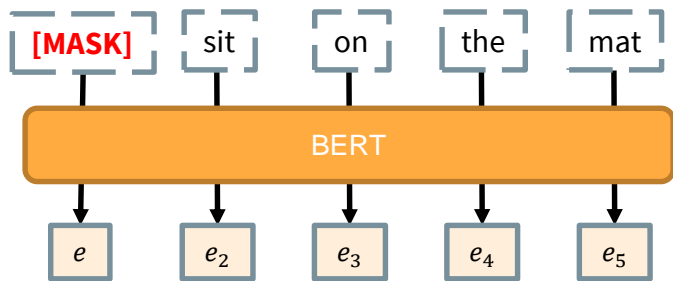
Unsupervised Probing with Perturbed Masking



Perturbed Masking

Example: Calculate impact sit has on Cats: $f(Cats, sit) = d(e, e')$

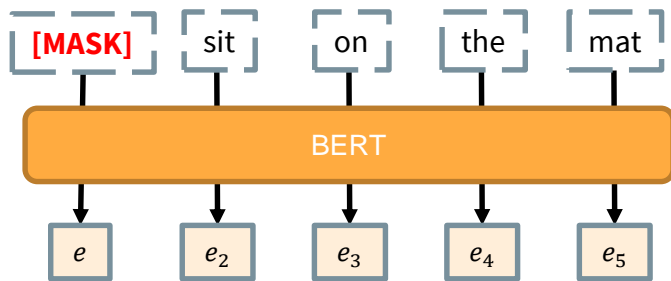
$$e = E(Cats | S \setminus \{Cats\})$$



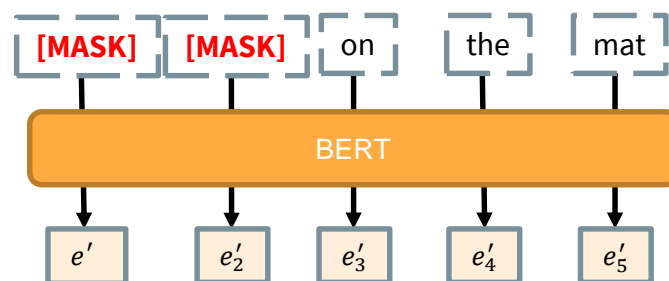
Perturbed Masking

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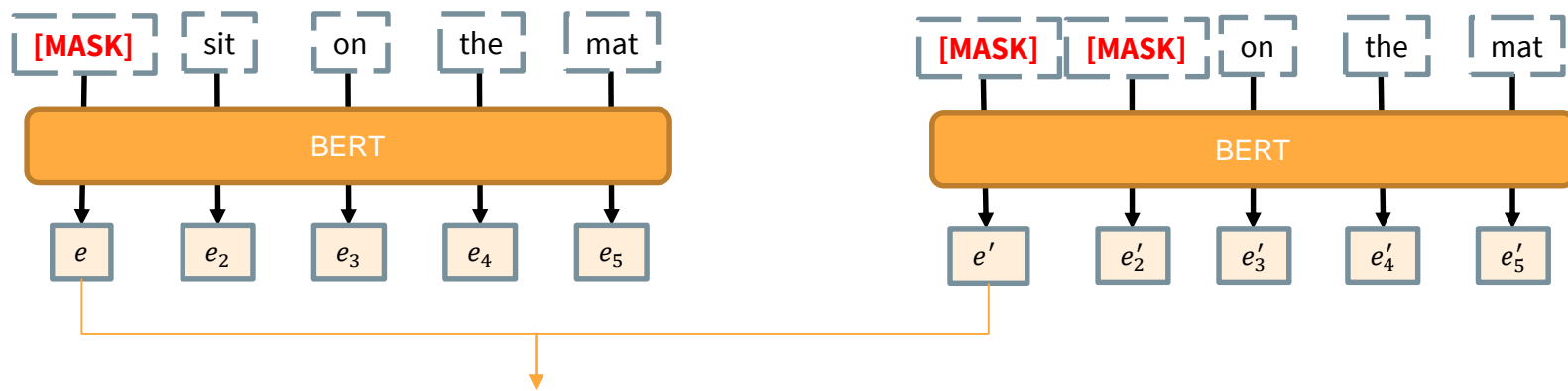


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$$f(Cats, sit) = d(e, e') = \text{Distance between } e \text{ and } e'$$

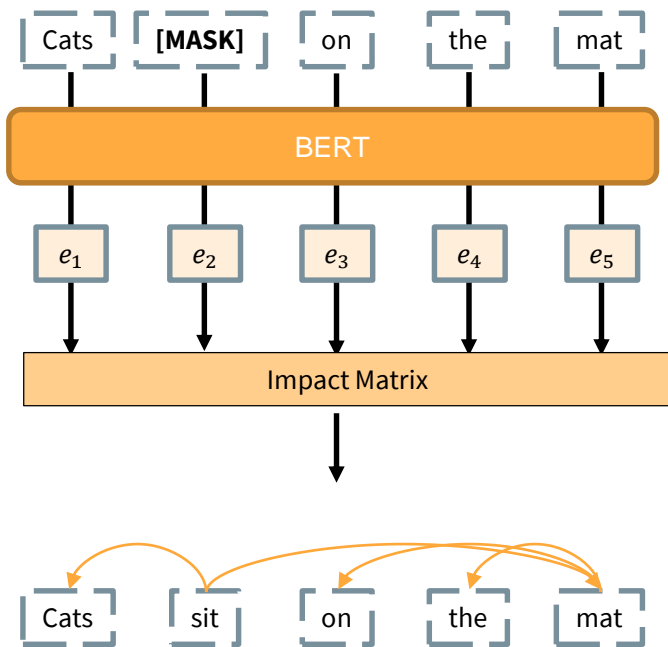
Impact Matrix

	Cats	sit	on	the	mat
Cats	-	$f(Cat, sit)$			
sit	$f(sit, Cats)$	-			
on			-		
the				-	
mat					-

Supervised Probe: learning to map representations to task

Ours: Impact Matrix + task specific algo => task

Unsupervised Probing with Perturbed Masking



1. **Perturb input sentence and extract an impact matrix.**
2. **Use task-specific algorithm to extract task-related knowledge from the impact matrix**

Application 1: Dependency probe

- Using graph-based dependency parsing algorithm to extract dependency trees out of impact matrixes.

Model	Parsing UAS	
	WSJ10-U	PUD
Right-chain	49.5	35.0
Left-chain	20.6	10.7
Random BERT	16.9	10.2
Eisner+Dist	58.6	41.7
Eisner+Prob	52.7	34.1
CLE+Dist	51.5	33.2

Application 1: Dependency probe

1. Despite its parameter-free nature, our probe corroborates findings from previous studies
2. However, we also observe that the structures induced from BERT only correlate with human-designed syntax weakly

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Would BERT learn **better** dependency structures?

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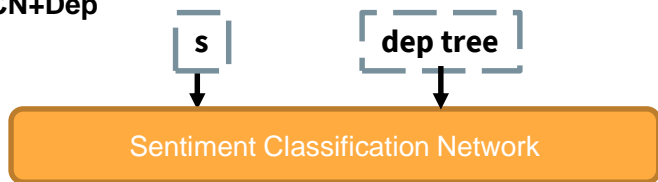
Empirical usefulness of the induced structure

Input sentence: **s**

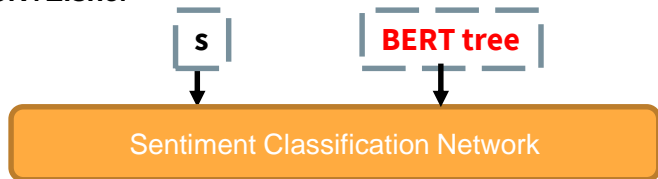
Parser generated dep tree for s: **dep tree**

BERT generated dep tree for s: **BERT tree**

PWCN+Dep



PWCN+Eisner



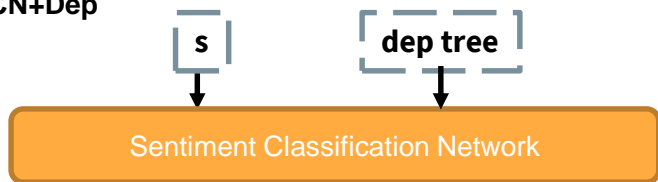
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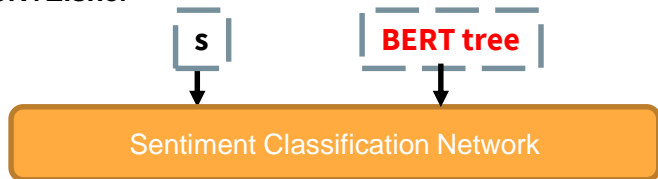
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PWCN+Dep



PWCN+Eisner



Model	Laptop		Restaurant	
	Acc	Macro-F1	Acc	Macro-F1
LSTM	69.63	63.51	77.99	66.91
PWCN				
+Pos	75.23	71.71	81.12	71.81
+Dep	76.08	72.02	80.96	72.21
+Eisner	75.99	72.01	81.21	73.00
+right-chain	75.64	71.53	81.07	72.51
+left-chain	74.39	70.78	80.82	72.71

Other applications

- Other probes (refer to paper)
- Unsupervised syntactic parsing (Kim et al., 2020; Li et al., 2020)
- Chinese word segmentation
- LM pre-training

Vokenization:

Improving Language Understanding with
Contextualized, Visual-Grounded Supervision

Hao Tan, Mohit Bansal

UNC Chapel Hill

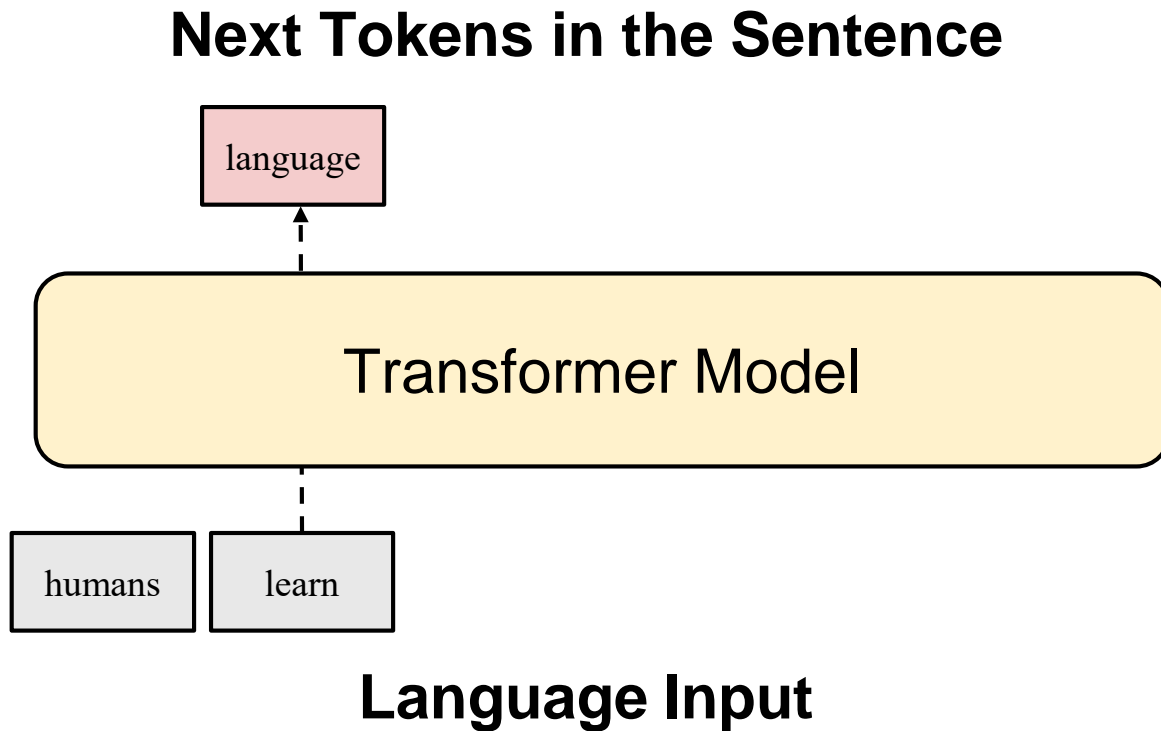
haotan, mbansal@cs.unc.edu

Visual Supervision to Language

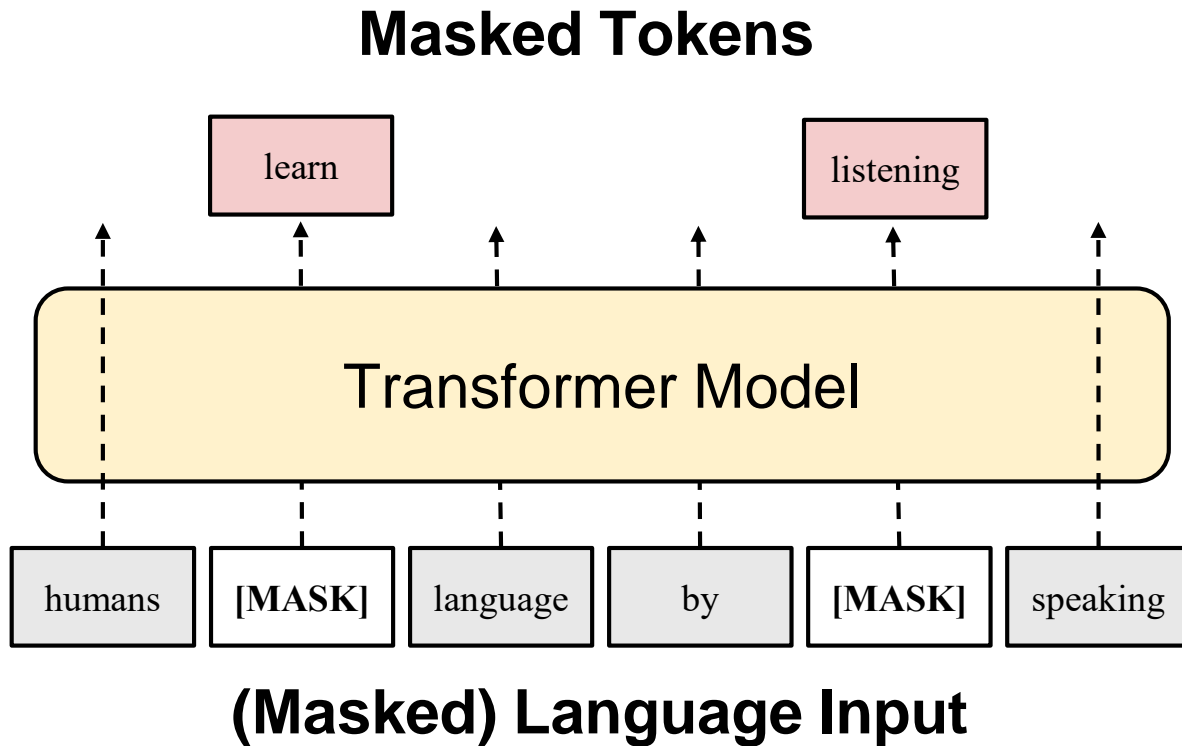
Look, this is a “cat”!



Causal Language Model (e.g., ELMo, GPT2)

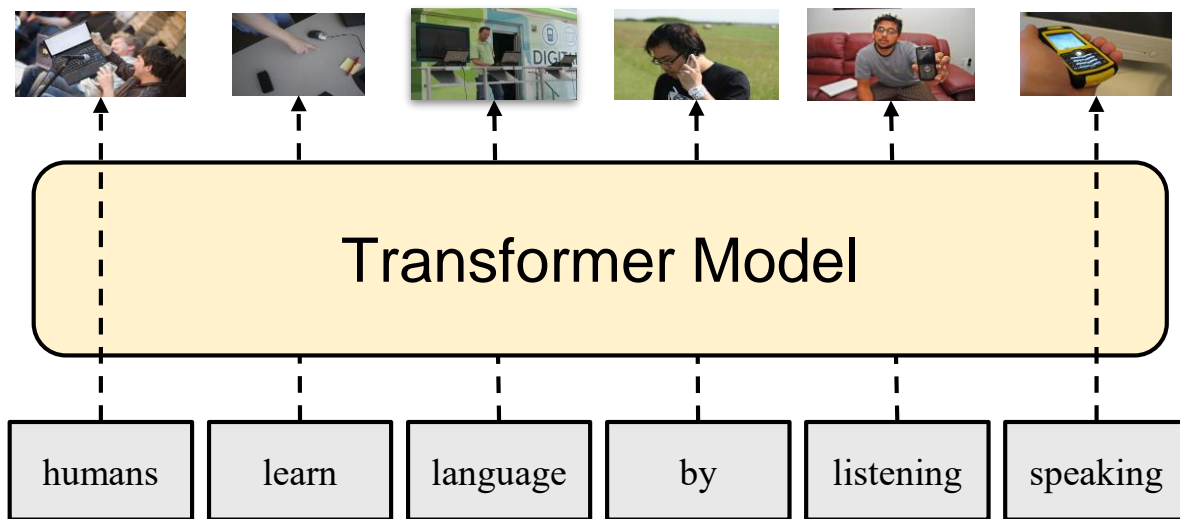


Masked Language Model (e.g., BERT)



Visually-Supervised Language Model

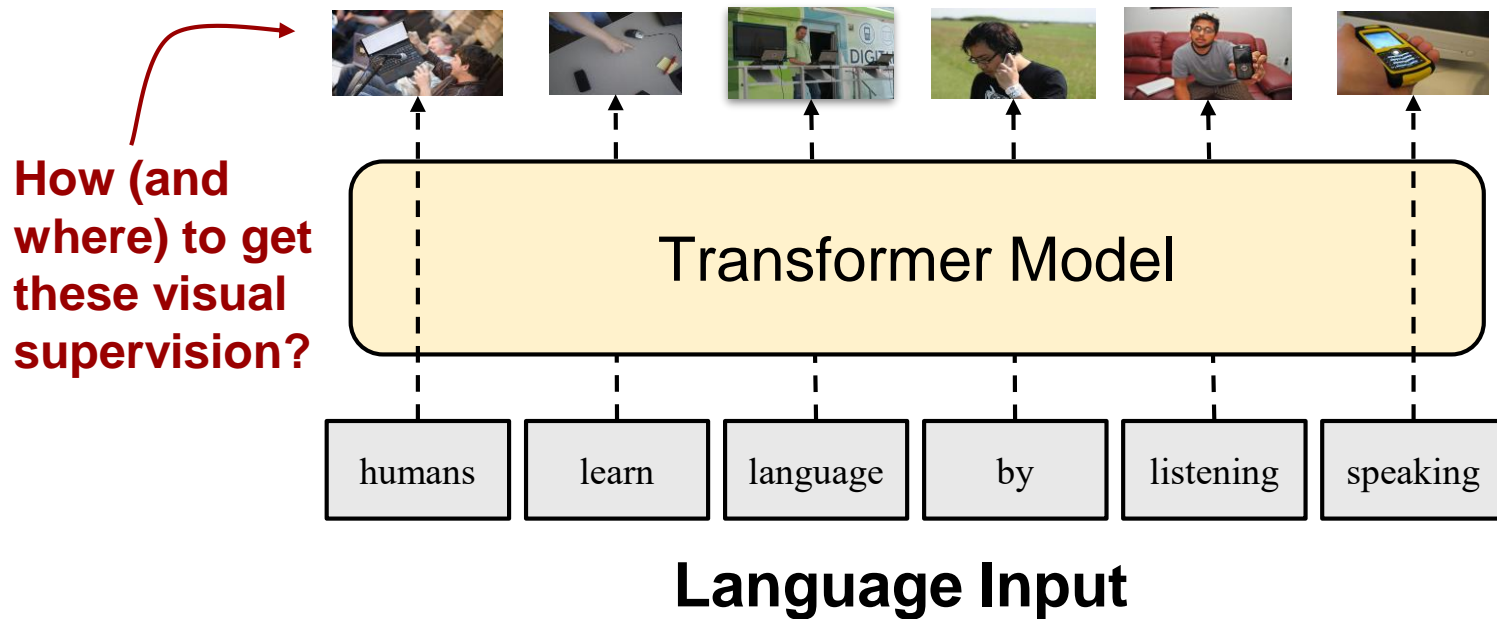
Vokens (Token-Related Images)



Language Input

Visually-Supervised Language Model

Vokens (Token-Related Images)



Available Resources and Our Goal

We have



The man at bat readies to swing at the pitch while the umpire looks on.



A large bus sitting next to a very tall building.



A horse carrying a large load of hay and two people sitting on it.



Bunk bed with a narrow shelf sitting underneath it.

MS COCO Captioning

(Visually-) Grounded Language

We want

COVID-19 pandemic

From Wikipedia, the free encyclopedia

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The **COVID-19 pandemic**, also known as the **sars-cov-2 pandemic**, is an ongoing pandemic of coronavirus disease 2019 (COVID-19) caused by the transmission of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), which was first identified in December 2019 in Wuhan, China.^[a] The first outbreak was declared a Public Health Emergency of International Concern (PHEIC) in January 2020, and a pandemic in March 2020. As of 22 October 2020, more than 41 million cases have been confirmed, with more than 1.12 million deaths attributed to COVID-19.^[b]

COVID-19 spreads most often when people are physically close,^[a] it spreads very easily and sustainably through the air, primarily via small droplets or aerosols, as an infected person breathes, coughs, sneezes, sings, or talks.^[a] It may also be transmitted via contaminated surfaces, although this has not been conclusively demonstrated.^[a]^[c] Asymptomatic transmission from aerosol formation is suspected to be the main mode of transmission.^[a] It can spread from an infected person for up to two days before they display symptoms, and from people who are asymptomatic.^[a] People remain infectious for seven to twelve days in moderate cases, and up to two weeks in severe cases.^[a]^[d]

Common symptoms include fever, cough, fatigue, breathing difficulties, and loss of smell. Complications may include pneumonia and acute respiratory distress syndrome. The incubation period is typically around five days but may range from one to 14 days.^[a] There are several vaccine candidates in development, although none have proven their safety and efficacy. There is no known specific antiviral medication, so primary treatment is currently symptomatic.^[a]

Recommended preventive measures include hand washing, covering mouth or wearing face mask when sneezing or coughing, social distancing, disinfecting surfaces, ventilation and air-filtering, and monitoring and self-isolation if exposed or symptomatic. Travel restrictions, lockdowns, mask-wearing, hygiene-based controls, and facility closures have been implemented. Many places have also worked to increase testing capacity and trace contacts of the infected. These have caused social and economic disruption, including the largest global recession since the Great Depression.^[a]

Extreme poverty and global hunger are affecting hundreds of millions, inflated by supply shortages. Many events, the environment and education systems have also been affected. There has also been much racial re-examination, as well as incidents of xenophobia and racism against Chinese people and against those perceived as being Chinese or as being from areas with high infection rates.^[a]

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English Wikipedia


Plain Language

Available Resources and Our Goal

Challenges 2: Low (Visual) Grounding Ratio

**Challenges 1:
Data Divergence**

We have



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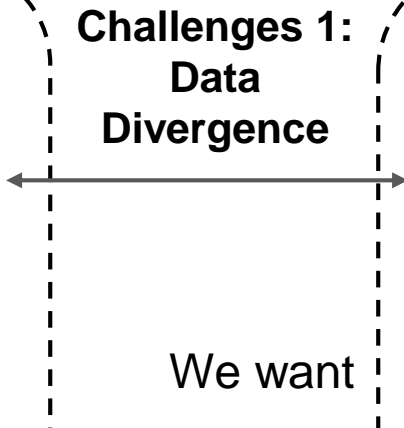
A large bus sitting next to a very tall building.

A horse carrying a large load of hay and two people sitting on it.

Bunk bed with a narrow shelf sitting underneath it.

MS COCO Captioning

(Visually-) Grounded Language



**Challenges 2:
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English Wikipedia

Plain Language

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MS COCO Captioning:
7M Tokens

English Wikipedia:
~2800M Tokens

The **amount** of grounded language is much less
than plain language.

Challenges 1: Data Divergence

Example:

A cat sits in the shadow of a blue doorway.

Example:

It is the only domesticated species in the family Felidae and is often referred to as the domestic cat to distinguish it from the wild members of the family.

MS COCO Captioning:
11.8 tokens / sentence

English Wikipedia:
24.1 tokens / sentence

The **distribution** of grounded language is different from plain language.

Challenges 1: Data Divergence

Example:

A cat sits in the shadow of a blue doorway.

Example:

It is the only domesticated species in the family Felidae and is often referred to as the domestic cat to distinguish it from the wild members of the family.

MS COCO Captioning:

Vocab Size - 9K

English Wikipedia:

Vocab Size - 29K

The **distribution** of grounded language is different from plain language.

Solution 1: Extrapolation



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7M Tokens

Extrapolate the
multimodal
alignments to
plain language

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English Wikipedia:
~2800M Tokens

Challenges 2: Low Grounding Ratio

Bold Blue: Visually-Grounded

Unbold Blue: Unsure

Example:

A **cat sits** in the **shadow** of a **blue doorway**.

Example:

A **cat** can either be a **house cat**, a **farm cat** or a **feral cat**; the latter ranges freely and avoids **human** contact.

MS COCO Captioning:
Grounding Ratio - 54.8%

English Wikipedia:
Grounding Ratio - 27.7%

Visually-Grounded (informal definition): If the word could be mapped to a visual image.

Challenges 2: Low Grounding Ratio

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Grounding Ratio - 27.7%

Problem: if the grounding ratio is small, it's hard to provide dense visual supervision.

Solution 2: Contextualized Grounding

Blue: Visually-Grounded

Blue: Unsure

Red: Contextually Visually-Grounded

Red: Unsure

Example:

A **cat** sits **in** the **shadow** of a **blue doorway**.

Example:

A **cat** can either be a **house cat**, a **farm cat** or a **feral cat**; the **latter** **ranges** freely and **avoids human contact**.

MS COCO Captioning:
Grounding Ratio - 54.8%

English Wikipedia:
Grounding Ratio - 27.7%

Contextually Visually-Grounded (informal definition): If the (word, context) pair is visually-grounded.

Solution 2: Contextualized Grounding



avoids

Grounding
(Token-Level Grounding)



A **cat** can either be a **house cat**, a **farm cat** or a **feral cat**; the **latter ranges freely** and

avoids **human contact**.

Contextualized Grounding

Solution 2: Contextualized Grounding



contact

Grounding
(Token-Level Grounding)



A **cat** can either be a **house cat**, a **farm cat** or a **feral cat**; the **latter ranges freely** and **avoids human contact**.

Contextualized Grounding

Vokenization = Extrapolation + Contextual Grounding

Train Annotate

(Contextual)
Vokenizer



The man at bat readies to swing at the pitch while the umpire looks on.



A large bus sitting next to a very tall building.



A horse carrying a large load of hay and two people sitting on it.



Bunk bed with a narrow shelf sitting underneath it.

MS COCO Captioning:
7M Tokens

COVID-19 pandemic

From Wikipedia, the free encyclopedia

Several terms redirect here. For other uses, see Coronavirus outbreak (disambiguation) and 2019–2020 outbreak (disambiguation).

The **COVID-19 pandemic**, also known as the **coronavirus pandemic**, is an ongoing pandemic of coronavirus disease 2019 (COVID-19) caused by the transmission of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), which was first identified in December 2019 in Wuhan, China.^[a] The first outbreak was declared a Public Health Emergency of International Concern (PHEIC) in January 2020, and a pandemic in March 2020. As of 22 October 2020, more than 41 million cases have been confirmed, with more than 1.12 million deaths attributed to COVID-19.^[b]

COVID-19 spreads most often when people are physically close.^[a] It spreads very easily and sustainably through the air, primarily via small droplets or aerosols, as an infected person breathes, coughs, sneezes, sings, or talks.^[a] It may also be transmitted via contaminated surfaces, although this has not been conclusively demonstrated.^[a]^[c] Asymptomatic transmission from aerosol formation is suspected to be the main mode of transmission.^[a] It can spread from an infected person for up to two days before they display symptoms, and from people who are asymptomatic.^[a] People remain infectious for seven to twelve days in moderate cases, and up to two weeks in severe cases.^[a]^[d]

Common symptoms include fever, cough, fatigue, sore throat, difficulty, and loss of smell. Complications may include pneumonia and acute respiratory distress syndrome. The incubation period is typically around five days but may range from one to 14 days.^[a] There are several vaccine candidates in development, although none have proven their safety and efficacy. There is no known specific antiviral medication, so primary treatment is currently symptomatic.^[a]

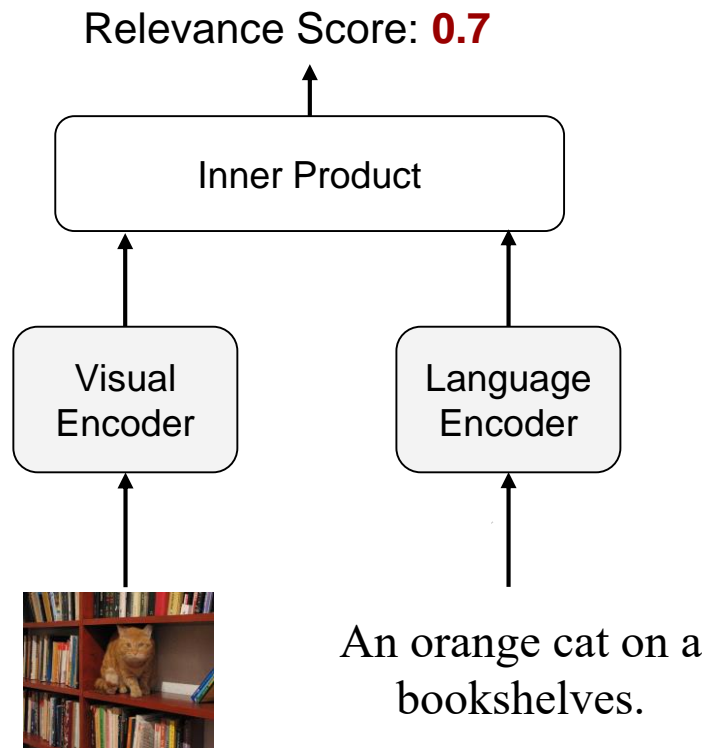
Recommended preventive measures include hand washing, covering mouth or wearing face mask when sneezing or coughing, social distancing, disinfecting surfaces, ventilation and air-filtering, and monitoring and self-isolation if exposed or symptomatic. Travel restrictions, lockdowns, mask-use, isolation hazard controls, and facility closures have been implemented. Many places have also worked to increase testing capacity and trace contacts of the infected. These have caused social and economic disruption, including the largest global recession since the Great Depression.^[a] Extreme poverty and global famines are affecting hundreds of millions, related by supply shortages. Many events, the environment and education systems have also been affected. There has also been much racial re-examination, as well as incidents of xenophobia and racism against Chinese people and against those perceived as being Chinese or as being from areas with high infection rates.^[a]

Contents

- 1 Epidemiology
 - 1.1 Background
 - 1.2 Cases
 - 1.3 Death
- 2 Transmission
- 3 Signs and symptoms
- 4 Cause
 - 4.1 Virology
- 5 Diagnosis
 - 5.1 Test-taking
 - 5.2 Imaging
- 6 Prevention
 - 6.1 Social distancing
 - 6.2 Face masks and respiratory hygiene
 - 6.3 Self-isolation
 - 6.4 Ventilation and air filtration
 - 6.5 Hand washing

English Wikipedia:
2800M Tokens

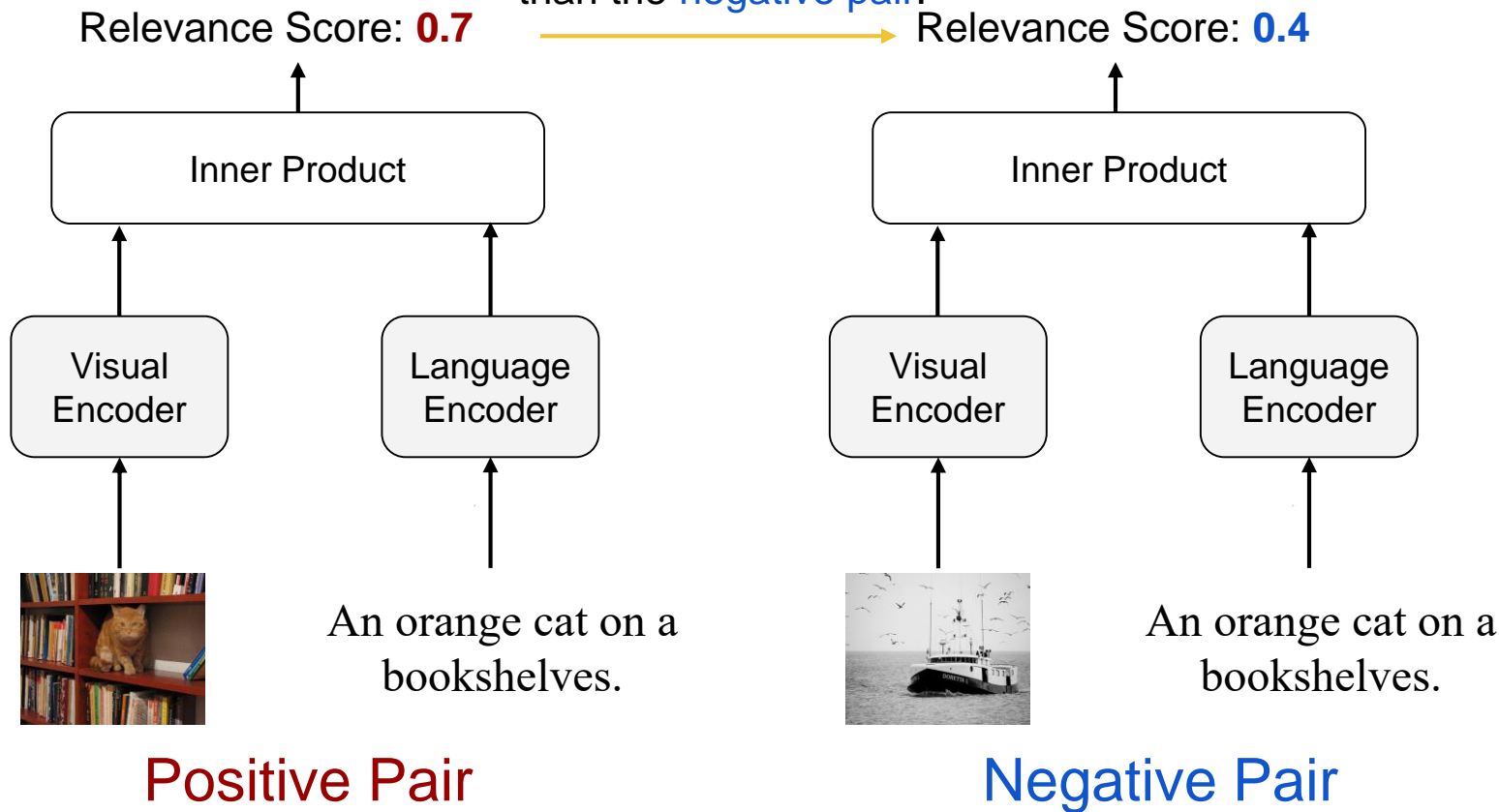
Vokenizer: Modeling



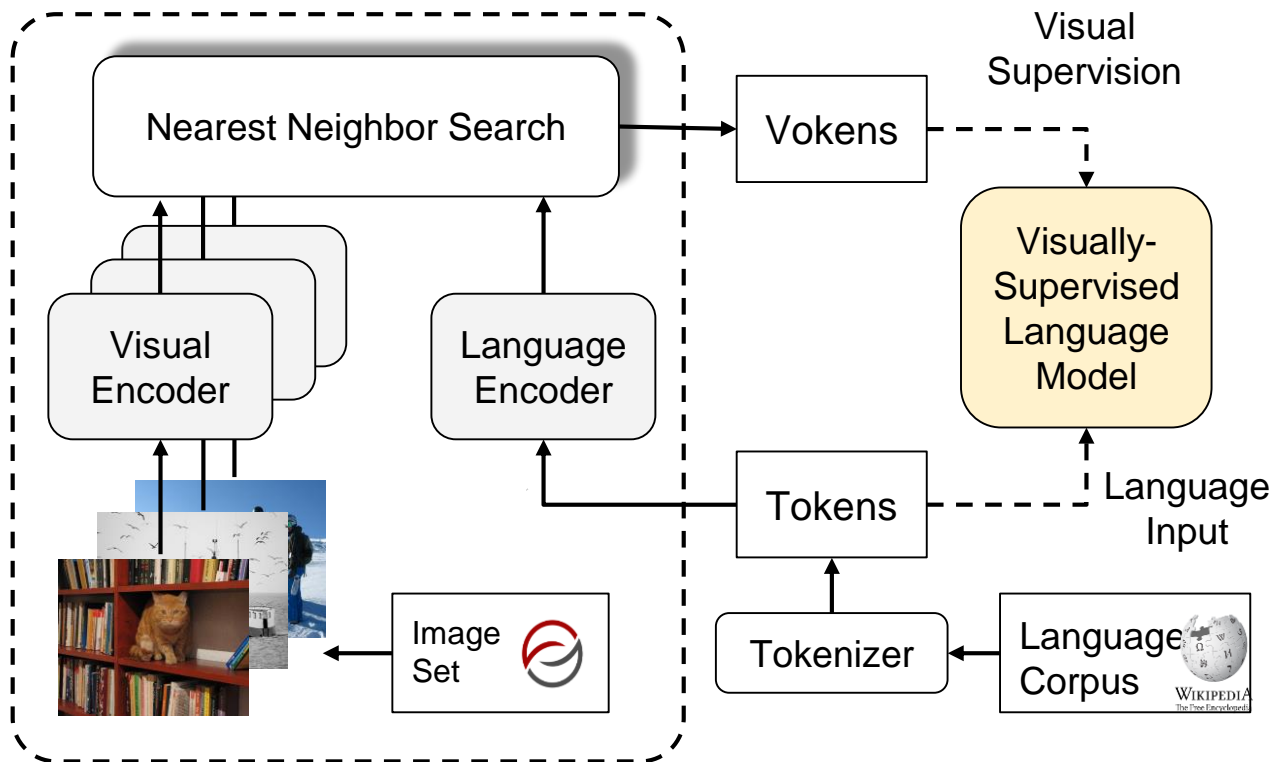
Positive Pair

Vokenizer: Training

Optimize the model so that the score of **positive pair** is **higher** than the **negative pair**.

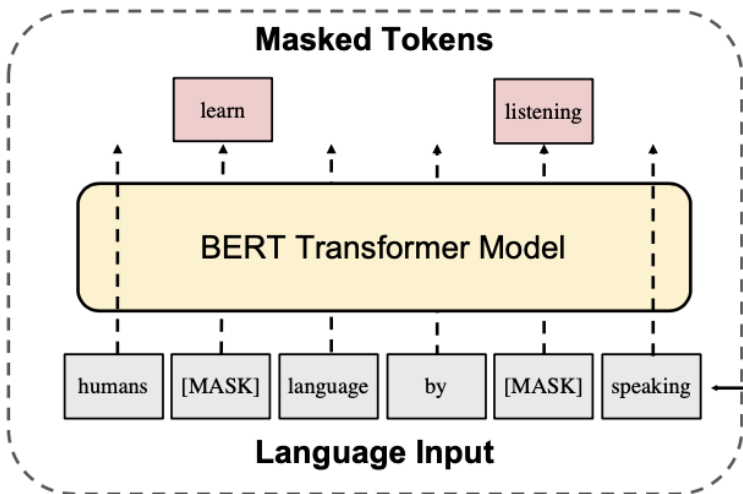


Vokenizer: Inference

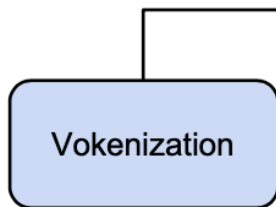


Final learning objective

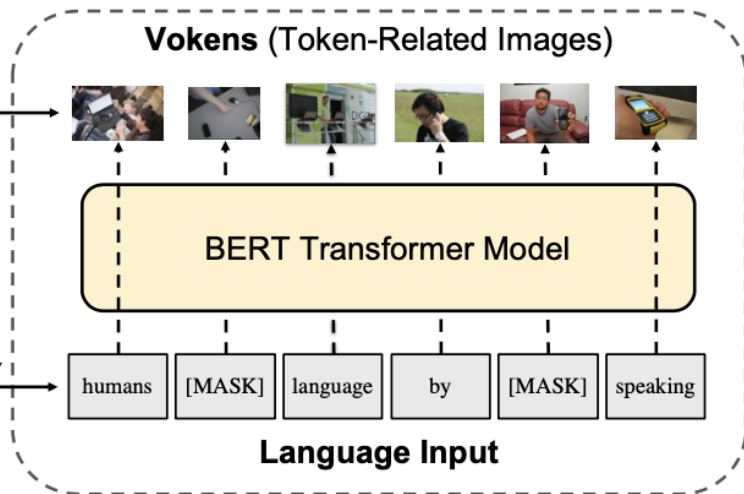
Masked Language Model



Humans learn language by listening, speaking ...



Voken Classification Task



Experiment Setups



The man at bat readies to swing at the pitch while the umpire looks on.



A large bus sitting next to a very tall building.



A horse carrying a large load of hay and two people sitting on it.



Bunk bed with a narrow shelf sitting underneath it.

MS COCO Captioning

Train the Vokenizer

COVID-19 pandemic

Several terms related here. For other uses, see [Coronavirus outbreak \(disambiguation\)](#) and [2019–2020 outbreak \(disambiguation\)](#).

The **COVID-19 pandemic**, also known as the **coronavirus pandemic**, is an ongoing pandemic of coronavirus disease 2019 (COVID-19) caused by the transmission of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), which was first identified in December 2019 in Wuhan, China.^[a] The first outbreak was declared a Public Health Emergency of International Concern (PHEIC) in January 2020, and a pandemic in March 2020. As of 28 October 2020, more than 61 million cases have been confirmed, with more than 1.1 million deaths attributed to COVID-19.^[b] COVID-19 results in death when people are physically close,^[c] spreads very easily and sustainably through the air, primarily via small droplets or aerosols, as an infected person breathes, coughs, sneezes, sings, or talks.^[d] It can also be transmitted via contaminated surfaces, although this has not been conclusively demonstrated.^[e] Exposure to someone from several metres is estimated to be the main mode of transmission.^[f] A gap spread from an infected person to up to five days before they display symptoms, and from people who are asymptomatic.^[g] People may be infectious for several hours after they have fully recovered from their illness, and up to six weeks in severe cases.^[h]

Common symptoms include fever, cough, fatigue, loss of taste and smell. Complications may include pneumonia and acute respiratory distress syndrome. The incubation period is typically around five days but may range from one to 14 days.^[i] There are several vaccine candidates in development, although none have passed their safety and efficacy. There is no specific antiviral medication, as primary treatment is currently supportive.^[j]

Recommended prevention measures include hand washing, wearing masks or wearing face masks when sneezing or coughing, social distancing, distributing systems, ventilation and air filtering, and handwashing and self-isolation if symptomatic. Travel restrictions, lockdowns, restricted school entries, and facility closures have been implemented. Many states have also worked to increase testing capacity and trace contacts of the infected. There have been social and economic disruptions, including the largest global recession since the Great Depression.^[k] Concerns about global tensions are affecting hundreds of millions, related to supply shortages. Many protests, the environmental and education systems have also been affected. There has also been much-related misinformation, as well as incidents of xenophobia and racism against Chinese people and against those perceived as being Chinese or as being from areas with high infection rates.^[l]

English Wikipedia

Train the Visually-Supervised Language Model

SQuAD SWAG

Article: Endangered Species Act

Sentence 1	Sentence 2	Label
Jude Wilson, chief executive at ProMedica, a medical service company that helps sustain the 2-year-old Vietnam Heart Institute in Ho Chi Minh City (formerly Saigon), said that so far about 1,500 children have received treatment.	The previous name of Ho Chi Minh City was Saigon.	Entailment
Like the United States, U.N. officials are also dismayed that Article killed a conference called by Prime Minister Robert Mahal in Port-au-Prince in hopes of bringing all the feuding parties together.	Article had Prime Minister Robert Mahal murdered in Port-au-Prince.	Not Entailment
Only a week after it had no comment on upping the storage capacity of its Hotmail e-mail service, Microsoft early Thursday announced it was boosting the allowance to 25GB to follow similar moves by rivals such as Google, Yahoo, and Lycos.	Microsoft's Hotmail has raised its storage capacity to 25GB.	Entailment
Since 1987, however, Brazil has taken steps to dramatically reduce the destruction, including stepping-up enforcement and the elimination of tax incentives that led to large-scale land clearing.	In the early 1990s Brazil began to take action to enforce the rainforest.	Not Entailment

to
e doll.
c
er head.
p plank.
log
a's feet.
log.
> something

MNLI
Text-Only Tasks

Evaluation

Note: Long passages in SQuAD need sequence length 512 but our computational resources only support sequence length 128. Other experiments are not affected by this. Please refer to got detailed implementation of SQuAD (the sliding window approach): https://github.com/google-research/bert/blob/master/run_squad.py

Results with BERT Backbone

Pre-trained on	SST-2	QNLI	QQP	MNLI
MS COCO	83.7	60.6	82.1	69.3
Wiki103*	85.8	77.9	84.8	73.9

Method	SST-2	QNLI	QQP	MNLI	SQuAD v1.1	SQuAD v2.0	SWAG	Avg.
BERT _{6L/512H}	88.0	85.2	87.1	77.9	71.3/80.2	57.2/60.8	56.2	75.6
BERT _{6L/512H} + Voken-cl	89.7	85.0	87.3	78.6	71.5/80.2	61.3/64.6	58.2	76.8
BERT _{12L/768H}	89.3	87.9	83.2	79.4	77.0/85.3	67.7/71.1	65.7	79.4
BERT _{12L/768H} + Voken-cl	92.2	88.6	88.6	82.6	78.8/86.7	68.1/71.2	70.6	82.1

Small experiments on Wiki103 (reproducible to the community). **1.2%** average improvement.

Note: We did some simplifications (constant sequence length, no NSP task) to standardize training process. We also excluded the unavailable BookCopus but only kept English Wikipedia.

Results with BERT Backbone

2.7% average improvement when pre-trained on Wikipedia.

Method	SST-2	QNLI	QQP	MNLI	SQuAD v1.1	SQuAD v2.0	SWAG	Avg.
BERT _{6L/512H}	88.0	85.2	87.1	77.9	71.3/80.2	57.2/60.8	56.2	75.6
BERT _{6L/512H} + Voken-cl	89.7	85.0	87.3	78.6	71.5/80.2	61.3/64.6	58.2	76.8
BERT _{12L/768H}	89.3	87.9	83.2	79.4	77.0/85.3	67.7/71.1	65.7	79.4
BERT _{12L/768H} + Voken-cl	92.2	88.6	88.6	82.6	78.8/86.7	68.1/71.2	70.6	82.1

Method	SST-2	QNLI	QQP	MNLI	SQuAD v1.1	SQuAD v2.0	SWAG	Avg.
BERT _{6L/512H}	88.0	85.2	87.1	77.9	71.3/80.2	57.2/60.8	56.2	75.6
BERT _{6L/512H} + Voken-clfs	89.7	85.0	87.3	78.6	71.5/80.2	61.3/64.6	58.2	76.8
BERT _{12L/768H}	89.3	87.9	83.2	79.4	77.0/85.3	67.7/71.1	65.7	79.4
BERT _{12L/768H} + Voken-clfs	92.2	88.6	88.6	82.6	78.8/86.7	68.1/71.2	70.6	82.1

BERT-BASE (Devlin et al., 2019)
Trained with 800M BooksCorpus

System	Dev		Test	
	EM	F1	EM	F1
Top Leaderboard Systems (Dec 10th, 2018)				
Human	-	-	82.3	91.2
#1 Ensemble - nlnet	-	-	86.0	91.7
#2 Ensemble - QANet	-	-	84.5	90.5
Published				
BiDAF+ELMo (Single)	-	85.6	-	85.8
R.M. Reader (Ensemble)	81.2	87.9	82.3	88.5
Ours				
BERT _{BASE} (Single)	80.8	88.5	-	-

System	Dev	Test
ESIM+GloVe	51.9	52.7
ESIM+ELMo	59.1	59.2
OpenAI GPT	-	78.0
BERT _{BASE}	81.6	-
BERT _{LARGE}	86.6	86.3
Human (expert) [†]	-	85.0
Human (5 annotations) [†]	-	88.0

SWAG Dev and Test accuracies. [†]H

Vision-and-Language Pre-training

Model	Init. with BERT?	Diff. to BERT Weight	SST-2	QNLI	QQP	MNLI
ViLBERT (Lu et al., 2019)	Yes	0.0e-3	90.3	89.6	88.4	82.4
VL-BERT (Su et al., 2020)	Yes	6.4e-3	90.1	89.5	88.6	82.9
VisualBERT (Li et al., 2019)	Yes	6.5e-3	90.3	88.9	88.4	82.4
Oscar (Li et al., 2020a)	Yes	41.6e-3	87.3	50.5	86.6	77.3
LXMERT (Tan and Bansal, 2019)	No	42.0e-3	82.4	50.5	79.8	31.8
BERT _{BASE} (Devlin et al., 2019)	-	0.0e-3	90.3	89.6	88.4	82.4
BERT _{BASE} + Weight Noise	-	6.5e-3	89.9	89.9	88.4	82.3
			92.2	88.6	88.6	82.6
		BERT _{12L/768H} + Voken-cls				

OSCAR on MNLI: 77.3% (- 2.1%)

LXMERT on MNLI: 31.8% (- 47.6%)

Visualization



humans



learn



language



by



listening



speaking



writing



reading



down



by



the



salle



##y



gardens



my



love



and



I



did



meet

Note: The goal of vokenization is not to build the perfect token-level image retriever but to improve understanding of other types of language with related visual information.